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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/814,895	03/30/2004	Hiroyuki Gennami	5000-5160

CONFIRMATION NO. 7578

MORGAN & FINNEGAN, L.L.P.  
 345 Park Avenue  
 New York, NY 10154

## FORMALITIES LETTER



\*OC000000013393325\*

Date Mailed: 08/02/2004

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 07/06/2004 to the Notice to File Missing Parts (Notice) mailed 06/14/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

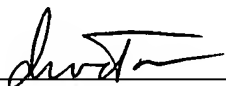
The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1, 12, 16, 17-19, 26 are not in compliance with 37 CFR 1.52(a).

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to read "David", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Hiroyuki GENNAMI, et al.

Group Art Unit: 3748

Serial No.: 10/814,895

Examiner: TBA

Filed: March 30, 2004

For: SCROLL COMPRESSOR

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Transmittal of Substitute Specification
2. Specification 20pgs.; Claims 8pgs.; Abstract 1pg.
3. Copy of Notice of Incomplete Reply (Nonprovisional)
4. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: August 13, 2004

By: Steven F. Meyer

Steven F. Meyer  
Registration No. 35,613

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Docket No. 5000-5160

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Hiroyuki GENNAMI, et al.

Group Art Unit: 3748

Serial No.: 10/814,895

Examiner: TBA

Filed: March 30, 2004

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**TRANSMITTAL OF SUBSTITUTE SPECIFICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto please find the Substitute Specification filed in the above-identified application. Per the Notice of Incomplete Reply (dated August 2, 2004), the specification has been corrected to comply with the requirements under 37 CFR 1.52, 1.121(b)(3), and 1.125. Please substitute the attached specification for the specification that is currently on file.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5160. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: August 13, 2004

By: Steven F. Meyer  
Steven F. Meyer  
Registration No. 35,613

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